STATE OF ILLINOIS SECRETARY OF STATE SECURITIES DEPARTMENT

| IN THE MATTER OF: | WILLIAM THOMAS READ III |) | FILE NO. 1200219 |
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| | | , | |

ORDER OF DISMISSAL

TO THE RESPONDENT: William Thomas Read III (Crd#: 371963)

2431 Ridgewood Ct. Aurora, Il 60502

C/O UBS Financial Services Inc. Attn: Director of Compliance

1 North Wacker Drive 25th, 26th, and 35th Floors

Chicago, Il 60606

TO THE HEARING OFFICER:

Soula J. Spyropoulos 4125 West Lunt Street

Lincolnwood, Illinois 60712

WHEREAS, at the time of the Notice of Hearing, the Illinois Department of Revenue's ("Department of Revenue") records indicated an outstanding tax assessment of a tax administered by the Department of Revenue;

WHEREAS, the Illinois Department of Revenue has released such tax assessment; and

WHEREAS, the Secretary of State, by and through his duly designated representative, has determined that the Notice of Hearing entered on June 11, 2012 may be dismissed against the Respondent.

IT IS HEREBY ORDERED: The Notice of Hearing entered on June 11, 2012 against the Respondent William Thomas Read III in this matter is dismissed without prejudice.

Dated: This 28th day of June 2012.

JESSE WHITE Secretary of State

Maria A. Pavone Enforcement Attorney Illinois Securities Department Office of Secretary of State 69 West Washington St.- Suite 1220 Chicago, IL 60602

Telephone: 312.793.3022